



PTO/SB/08A (04-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Page

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of

Complete if Known

Application Number

10/068,171

Filing Date

February 4, 2002

First Named Inventor

Mossman, Sally, et. al.

Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

014058-013300US

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TECH CENTER 1600/29**U.S. PATENT DOCUMENTS**

Examiner	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
S	AA	US-5,977,081		11-02-1999	Marciani	
	AB	US-6,080,725		06-27-2000	Marciani	
	AC	US-6,113,918		09-05-2000	Johnson et al.	
	AD	US-6,262,029		07-17-2001	Press et al.	
	AE	US-6,303,347		10-16-2001	Johnson et al.	
S	AF	US-6,355,257		03-12-2002	Johnson et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
S	AG	WO	98/57659		12-23-1998	Smithkline Beecham Biologicals		<input type="checkbox"/>
S	AH	WO	99/62647		10-21-1999	Brigham Women's Hospital		<input type="checkbox"/>
S	AI	WO	01/78777		10-25-2001	Corixa Corporation		<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
S	AJ	U.S. patent application 10/068,475 filed February 4, 2002: Mossman et al., "Immunostimulant Compositions Comprising Aminoalkyl Glucosaminide Phosphates and Saponins (copending application; not yet published)	
	AK	U.S. patent application 10/177,115 filed June 21, 2002: Mossman et al., "Immunostimulant Compositions Comprising Aminoalkyl Glucosaminide Phosphate and QS-21 (copending application; not yet published)	
	AL	Wijburg et al., The Role of Macrophages in the Induction and Regulation of Immunity Elicited by Exogenous Antigens; Eur. J. Immunol. 28: 479 (1998)	
	AM	Jones et al., Immunization with Human Immunodeficiency Virus Type 1 rgp120 _{W610} in QS21/MPL Adjuvant ...; J. Infect. Diseases 179: 558 (1999)	
S	AN	Mioore et al., The Adjuvant Combination Monophosphoryl Lipid A and QS21 switches T cell responses...; Vaccine 17: 2517 (1999)	

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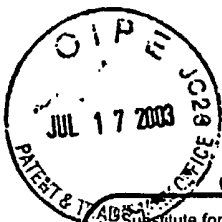
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¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/068,171		
		Filing Date	February 4, 2002		
		First Named Inventor	Mossman, Sally, et. al.		
		Art Unit	Unknown		
		Examiner Name	Unknown		
Page	2	of		Attorney Docket Number	014058-013300US

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			
SW	AO				McCormack et al., A Phase I Trial in Negative Healthy Volunteers...; Vaccine 18: 1166 (2000)	
SW	AP				Gerard et al., Therapeutic Potential of OProtein and Adjuvant Vaccinations on Tumor Growth; Vaccine 19: 2583 (2001)	
SW	AQ				Johnson et al., Synthesis and Biological Evaluation of a New Class of Vaccine Adjuvants: Aminoalkyl Glucosaminide 4-Phosphates (AGPs); Bioorganic & Medicinal Chemistry Letters 9: 2273 (1999)	

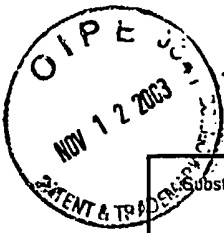
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/068,171
		Filing Date	February 4, 2002
		First Named Inventor	Mossman, Sally
		Art Unit	1617
		Examiner Name	Bahar
Sheet 1	of	Attorney Docket Number	014058-013300US

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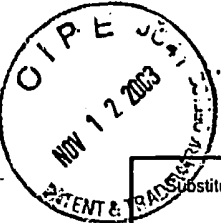
U.S. PATENT DOCUMENTS+					
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		Number Kind Code ² (if known)			
SW	AA	US-8,525,028 B1	02-25-2003	Johnson	
SW	AB	U.S. patent application filed September 3, 2003 ; David A. Johnson et al., "New Immunoeffector Compounds" (copending application, national phase of PCT/US01/24284)	(PCT application published 02-14-2002)	David A. Johnson et al.	
	AC	US-			
	AD	US-			
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		Art Unit	1617		
		Examiner Name	Bahar		
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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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